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PATENT APPLICATION DOCKET NO. 1619.EMCO.NP

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Daniel Joseph Lee

**SERIAL NO.:** 

09/976,672

FILED:

October 11, 2001

FOR:

**NETWORK TOPOLOGY FOR** 

USE WITH AN OPEN INTERNET PROTOCOL SERVICES PLATFORM

**ART UNIT:** 

2661

**EXAMINER:** 

Unknown

DOCKET NO.:

1619.EMCO.NP

CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, under 37 C.F.R. § 1.8 on the date indicated below and is addressed to Assistant

Commissioner for Patents, Washington, D.C. 20231.

David W. O'Bryant

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner of Patents Washington, D.C. 20231

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MAY 0 7 2002

Sir:

**Technology Center 2600** 

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed pursuant to 37 C.F.R. § 1.97 (b), within three months of the filing date of the application, within three months of the date of entry of the national stage set forth in § 1.491 in an international application or before the mailing date of a first Office action on the merits and requests that the references cited in the enclosed form PTO-1449 be made of record in the above-captioned application. While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the

Assistant Commissioner for Patents

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April 26, 2002

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enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth

in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent

office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the references listed, together with any other references which may have been

previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of

which the undersigned is presently aware, and no art which is closer to the claimed invention

(taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or

relevant portion thereof) which was not previously submitted to, or cited by, the Patent Office is

also enclosed.

Since all listed references are either in the English language or are accompanied by a

translation into English, no concise explanation of relevance is required under 37 C.F.R. §

1.98(a)(3).

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-

0881.

DATED this 29 day of April, 2002.

Respectfully submitted,

David W. O'Bryant (/

Attorney for Applicant

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PTO-1449 U.S. DEPARTMENT OF COMMERCE	Application Number	09/976,672		
→ PATENT AND TRADEMARK OFFICE	Filing Date	October 11, 2001		
EIST OF PRIOR ART CITED BY APPLICANT	First Named Inventor	Daniel Joseph Lee		
[a` 5\	Group Art Unit	2661		
MAY 02 2002 EN	Examiner Name	Unknown		
Sheet 1 of 1	Attorney Docket	1619.EMCO.NP		

			U.S	5. PATENT DOCUMENTS			
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,774,660	June 30, 1998	Brendel et al.			
	AB	5,802,278	Sep. 1, 1998	Isfeld et al.			
	AC	5,818,838	Oct. 6, 1998	Backes et al.			
	AD	6,108,345	August 22, 2000	Zhang			
	AE	6,188,694 B1	Feb. 13, 2001	Fine et al.			
	AF	6,252,878 B1	June 26, 2001	Locklear, Jr. et al.			
	AG	6,262,974 B1	July 17, 2001	Chevalier et al.		DEC	EIVED
	АН	6,295,275 B1	Sep. 25, 2001	Croslin			
	AI	6,331,986 B1	Dec. 18, 2001	Mitra et al.		MAY 0	7 2002
	AJ	·.				Technology	Center 2600
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			FORE	IGN PATENT DOCUMEN	TS		
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
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	AN						
	AO						
	AP						
	AQ						
		ОТНЕ	R PRIOR ART	(Including Author, Title, Pe	ertinent Pages,	Etc.	
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	AS						- <u> </u>
	AT						
EXAMINER				DATE CONSIDE	CRED		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.